

FORM PTO-1390 (REV 10-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				34691/243030	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	
				10/089,635	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED	
PCT/DE00/00913		March 24, 2000		September 29, 1999	
TITLE OF INVENTION					
PRODUCTION OF A DIELECTRIC MULTI-LAYERED REFLECTING COATING					
APPLICANT(S) FOR DO/EO/US					
Jörg Arnold					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	<input type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.			
2.	<input checked="" type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.			
3.	<input type="checkbox"/>	This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).			
4.	<input type="checkbox"/>	The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).			
5.	<input type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
	a.	<input type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).		
	b.	<input type="checkbox"/>	has been communicated by the International Bureau.		
	c.	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).		
6.	<input type="checkbox"/>	A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a.	<input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).		
	b.	<input type="checkbox"/>	have been communicated by the International Bureau.		
	c.	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.		
	d.	<input type="checkbox"/>	have not been made and will not be made.		
8.	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	<input type="checkbox"/>	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11. To 16. Below concern other document(s) or information included:					
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.			
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	<input type="checkbox"/>	A FIRST preliminary amendment.			
	<input checked="" type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.			
14.	<input type="checkbox"/>	A substitute specification.			
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.			
16.	<input checked="" type="checkbox"/>	Other items or information: Copy of International Search Report 10 cited references Copy of Notification of Missing Requirements			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 10/089,635	INTERNATIONAL APPLICATION NO. PCT/DE00/00913	ATTORNEY'S DOCKET NUMBER 34691/243030
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO		\$1,040.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO		\$ 890.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search (37 CFR 1.445(a)(2)) paid to USPTO		\$ 740.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO But all claims did not satisfy provisions of PCT Article 33(1)-(4)		\$ 710.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)		\$ 100.00
<b>ENTER APPROPRIATE BASIC FEE AMOUNT</b> =		\$
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total Claims	17-20 =	0
Independent Claims	1- 3 =	0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$280.00
<b>TOTAL OF ABOVE CALCULATIONS</b> =		\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by one-half.		\$
<b>SUBTOTAL</b> =		\$130.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$
<b>TOTAL NATIONAL FEE</b> =		\$ 130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 40.00
<b>TOTAL FEES ENCLOSED</b> =		\$ 170.00
		Amount to be Refunded \$
		Charged \$
a. <input checked="" type="checkbox"/> Checks in the amounts of \$ 130.00 and \$40.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 16-0605 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0605.		
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: Charles B. Elderkin <i>Charles B. Elderkin</i> Charles B. Elderkin REGISTRATION NUMBER 24,357 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Charlotte Office (704) 444-1000 Fax Charlotte Office (704) 444-1111 <b>Customer Number 00826</b>		<b>"Express Mail"</b> Mailing Label Number EL 910631933 US Date of Deposit: July 9, 2002  I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX PCT, Attn: DO/US (PTO) Commissioner for Patents, Washington, DC 20231. <i>Joyce D. Smith</i> Joyce D. Smith

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UNITED STATES PATENT AND TRADEMARK OFFICE

Received by Commissioner for Patents, Box PCT  
 U.S. Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,635	jorg Arnold	34691/243030

INTERNATIONAL APPLICATION NO.
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PCT/DE00/00913

00826  
 ALSTON & BIRD LLP  
 BANK OF AMERICA PLAZA  
 101 SOUTH TRYON STREET, SUITE 4000  
 CHARLOTTE, NC 28280-4000

I.A. FILING DATE	PRIORITY DATE
03/24/2000	09/29/1999

CONFIRMATION NO. 3081

371 FORMALITIES LETTER



\*OC00000008335257\*

Date Mailed: 06/25/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

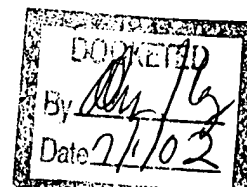
- o U.S. Basic National Fees
- o Priority Document
- o Copy of IPE Report
- o Copy of references cited in ISR
- o Copy of the International Application
- o Copy of the International Search Report
- o Preliminary Amendments
- o Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- o Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- o \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/089,635	PCT/DE00/00913	34691/243030